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# A Comparison of Costoclavicular Block and Interscalene Block for Pain Relief after Arthroscopic Shoulder Surgery

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## Abstract

**Introduction** Interscalene block (ISB) is commonly used for efficient pain relief after arthroscopic shoulder surgery. But, it is linked with a greater occurrence of unilateral diaphragmatic paralysis (UDP). This may add to patient dissatisfaction and also may not be tolerated well by patients having respiratory diseases. We have compared the efficacy of costoclavicular block (CCB) with ISB for postoperative analgesia in patients undergoing arthroscopic shoulder surgery.

**Materials and Methods** Fifty patients were divided into two groups of 25 each (ISB or CCB group). In total, 20 mL of 0.5% levobupivacaine along with 4 mg of dexamethasone as adjuvant was used during both ultrasound-guided ISB and CCB. Numerical rating scale (NRS) scores for pain were assessed at 0, 0.5, 1, 2, 3, 6, 12, and 24 hours in postoperative period. The mean onset time of block, time of first painkiller demand, total painkiller demand for 24 hours postoperatively, patient wellbeing, incidence of UDP, and any other complications were recorded.

**Results** NRS pain scores in both groups at 0, 0.5, 1, 2, 3, 6, 12, and 24 hours were comparable. (p > 0.05) Mean onset time of block was earlier in ISB group contrary to CCB group (p < 0.05). There was higher occurrence of UDP in ISB group contrary to CCB group (p < 0.05). There was no notable variation regarding the time of first painkiller demand and total painkiller demand in the first 24 hours. Patients were more satisfied in CCB group contrary to ISB group.

# Keywords

- interscalene block
- costoclavicular block
- ► postoperative pain
- shoulder surgery
- arthroscopy

**Conclusion** As CCB provided equivalent analgesia in postoperative period like ISB without any risk of UDP, it can be a better substitute to ISB for pain relief in arthroscopic shoulder surgery.

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#### Introduction

Arthroscopic shoulder surgeries are minimally invasive procedures, which are usually associated with moderate to severe postoperative pain. This can produce serious aches and pains to the patients, leading to delayed recovery, rehabilitation, and discharge.<sup>1</sup> Patients with pre-existing respiratory diseases pose a challenge for postoperative analgesia after shoulder surgery as interscalene block (ISB), commonly used block for shoulder surgery, can produce unilateral diaphragmatic paralysis (UDP). ISB was also associated with complications like arm weakness, Horner's syndrome, and hoarseness of voice. Whereas so many nerve blocks sparing diaphragm have been studied, no block has come close to provide equipotent analgesia to ISB without producing UDP.<sup>2</sup> In a study by Wiegel et al,<sup>3</sup> they concluded that analgesic efficacy of isolated suprascapular blocks was not so potent. Lee et al4studied combined suprascapular and axillary nerve block which produced poor analgesia after arthroscopic surgery. Karmakar et al<sup>5</sup>described the costoclavicular block (CCB) in which they earmarked the costoclavicular space (CCS) to block the brachial plexus. They opined that, three cords are densely packed and tightly clustered in CCS. So lower local anesthetic volume is needed for the block and also avoid the chance of pneumothorax and UDP. Garcia-Vitoria et al<sup>6</sup> in their study opined that the CCS can act as a backward route to block supraclavicular brachial plexus which can avoid UDP. There were very few studies in literature comparing ISB with CCB for postoperative analgesia after arthroscopic shoulder surgeries. So in this study, we have compared CCB with ISB for pain relief after arthroscopic shoulder surgery.

## **Materials and Methods**

We have conducted this randomized, prospective trial in a tertiary care hospital from January 2020 to January 2021 after obtaining Institutional Ethical Committee approval and written informed consent. Fifty patients of ASA I/II and aged 18 to 70 years, posted for arthroscopic shoulder surgery were enrolled in our trial. Patients with pre-existing respiratory disease, coagulation abnormalities, infection at the site of block, liver or renal problems, pregnancy, hypersensitivity to local anesthetics, and prior surgery in the block site were excluded from the study. On arrival in operation theater, an 18-gauge intravenous (IV) cannula was put. Premedicant like IV midazolam 0.04 mg/kg and fentanyl 1 µg/kg were injected to all patients. Oxygen was supplemented at the rate of 5 L/min and SpO<sub>2</sub>, pulse rate, noninvasive blood pressure, and ECG were monitored. For both blocks, GE Logiq F ultrasound with a high frequency (6-15 MHz) of 38 mm L6-12 linear probe was used. Using a computer-initiated sequence of random numbers all patients were randomly distributed into two groups, ISB (n = 25) and CCB (n = 25). The allocation outcomes were kept consecutively in a nontransparent and sealed cover, which was opened by the investigator before executing the blocks. ISB was given as narrated by Spence et al.<sup>7</sup> The transducer was put at the level of the cricoid cartilage on the lateral side of neck. Three hypoechoic structural images,

representing the roots and trunks of the brachial plexus were visualized. Using in-plane approach, the needle was guided from lateral-to-medial direction. When tip reaches below the prevertebral fascia and lies between the two superficial hypoechoic images, 20 mL of levobupivacaine 0.5% with 4 mg dexamethasone was injected. CCB was given as described by Karmakar et al.<sup>5</sup> The transducer was initially put over the middle one-third of the clavicle. Then it was gradually shifted below the lower margin and placed in medial infraclavicular fossa. Axillary artery was recognized below the subclavius muscle and lateral to the axillary artery, three brachial plexus cords were identified. In-plane approach was used, and the needle was guided till the tip reaches the middle of three cords where 20 mL of levobupivacaine 0.5% with 4 mg dexamethasone was injected. All the blocks were given by experienced anesthesiologist. After the performance of the blocks, blocks were evaluated at every 5 minutes until 30 minutes. Sensation was assessed over the clavicle area for supraclavicular nerve and the lateral part of the deltoid for axillary nerve. For sensory block, every area was classified as per a three-point scale utilizing a cold test<sup>8</sup>: failed block–0, analgesia-1, anesthesia-2. Motor block was assessed by various movements of shoulder like external rotation for suprascapular nerve and abduction for axillary nerve using a three-point scale: failed block–0, paresis–1, paralysis–2. Block was perfect, if the combined sensory-motor score was ≥6 out of maximum score 8, 30 minutes after block.<sup>8</sup>

UDP was assessed by the anesthesiologist blinded to the study group at 30 minutes after the blocks. Onset time of block was interpreted as the time needed for combined score of 6 to be achieved. After assessment of all the blocks, patients were administered general anesthesia using IV fentanyl (1 µg/kg), propofol (2 mg/kg), and vecuronium (0.1 mg/kg). After surgery is over, patients were shifted to post anesthesia care unit (PACU) after extubation. In PACU, another anesthesiologist assessed pain on rest at 0.5, 1, 2, 3, 6, 12, and 24 hours. Numerical rating scale (NRS) was used to assess pain. (no pain-0, worst imaginable pain-10).9 If NRS score was >4, paracetamol 15 mg/kg was given IV. Same anesthesiologist evaluated UDP at 30 minutes after arrival in the PACU using ultrasound. Mean performance time, complete blocks at 30 minutes, mean onset time, time of first painkiller demand, and total painkiller demand were recorded. The incidence of other complications like hoarseness and Horner syndrome was recorded. Patient wellbeing was assessed on questionnaire method with a four-point measurement (excellent, good, fair, poor). NRS score variation between ISB and CCB group of less than 1.3 points studied at different time interval was taken as significant. Established on an earlier trial,<sup>10</sup> the difference in NRS score was presumed to be 1.5 between the two groups within first 24 hours. So, taking the  $\alpha$  as 0.05 and power as 0.90, the least needed sample size was calculated to be 22 for each group. Sample size was increased to 25 patients per group, anticipating loss of 10% patients to follow-up. Chi-square test was used for categorical data. For the numerical data, Student t-test or ANOVA was used. p-Values <0.05 was taken as statistically significant.



Fig. 1 CONSORT diagram showing patient flow.

**Table 1** Patient demographic profile, type, and duration ofsurgery

Variables	Group ISB (n – 25)	Group CCB (n – 25)	p-Value	
Age in years	51.42 ± 11.74	52.85 ± 11.94	0.231	
Male /Female,(n)	20/5	19/6	0.354	
BMI in (kg/m²)	25.12 ± 2.55	15.86 ± 3.12	0.251	
ASA status-I/II (n)	16/9	18/7	0.364	
Pre op NRS score at rest	3.31 ± 1.05	3.54 ± 1.32	0.540	
Type of surgery (n)				
Rotator cuff repair	12	10	0.156	
Acromioplasty (n)	8	11	0.195	
Bankart repair (n)	5	4	0.207	
Duration of surgery (min)	110.55 ± 24.14	114.34 ± 21.68	0.412	

Abbreviations: CCB, costoclavicular block; ISB, interscalene block.

# Results

Sixty participants were evaluated for eligibility and ten of them were ruled out as they did not meet the inclusion criteria. So, 50 patients were enrolled for this study (**-Fig. 1**). There were no statistically remarkable variation in both groups (A and B) regarding demographic parameters, ASA

 Table 2
 Numeric rating scale scores at rest at various time interval

Time interval	Group ISB (n – 25)	Group CCB (n – 25)	p-Value		
0 min	1.75 ± 2.14	1.6 ± 2.12	0.146		
30th min	1.42 ± 1.66	1.32 ± 1.74	0.172		
1st h	1.58 ± 1.43	1.92 ± 1.78	0.184		
2nd h	1.75 ± 1.73	1.25 ± 1.14	0.158		
3rd h	1.8 ± 1.25	2.24 ± 1.48	0.193		
6th h	1.6 ± 1.48	2.83 ± 0.93	0.256		
12th h	2.8 ± 1.4	2.23 ± 1.81	0.167		
24th h	2.95 ± 0.93	2.89 ± 0.83	0.178		

Abbreviations: CCB, costoclavicular block; ISB, interscalene block.

status, preoperative NRS score, type of surgery, and duration of surgery (**-Table 1**).

NRS scores were comparable in both groups at 0, 0.5, 1, 2, 3, 6, 12, and 24 hours postoperatively (p > 0.05) (**-Table 2**). Mean onset time of block was earlier and patient wellbeing was better in CCB group compared with ISB block (p < 0.05). Both groups were comparable with regard to mean performance time, perfect blocks at 30 minutes, time of first painkiller demand, and total painkiller demand (p > 0.05) (**-Table 3**). Incidence of UDP 30 minutes after the block and in the PACU was greater in ISB group contrary to CCB group (p < 0.05). Regarding other complications like Horner syndrome and hoarseness, both groups were comparable (**-Table 4**).

Parameters	Group ISB (n – 25)	Group CCB (n – 25)	p-Value
Performance time of block (min)	8.5 ± 2.9	8.1 ± 3.9	>0.05
Onset time of block (min)	15.78 4.50	20.42 3.74	<0.05
Perfect block at 30 min (n [%])	25 (100%)	25 (100%)	>0.05
Time of first painkiller demand (h)	6.8 ± 1.5	6.4 ± 1.8	>0.05
Total painkiller demand (g)	2.4 ± 0.7	2.6 ± 0.6	>0.05
Patient wellbeing at 24 h ( <i>n</i> ) (Excellent: good: fair: poor)	8:15:2:0	20:4:1:0	<0.05

 Table 3
 Block parameters and pain killer requirement

Abbreviations: CCB, costoclavicular block; ISB, interscalene block.

Table 4 Complications of ISB and CCB

Parameters	Group ISB (n – 25)	Group CCB (n – 25)	p-Value
Unilateral diaphragmatic paralysis after 30 min of block (n [%])	20 (80%)	0	<0.05
Unilateral diaphragmatic paralysis in PACU) ( <i>n</i> [%])	20 (80%)	0	<0.05
Horner syndrome (n [%])	1 (4%)	0	>0.05
Hoarseness (n [%])	2 (8%)	2 (8%)	>0.05

Abbreviations: CCB, costoclavicular block; ISB, interscalene block; PACU, post anesthesia care unit.

# Discussion

ISB is the benchmark block commonly used for postoperative pain management after any shoulder surgery. ISB decreases the pain scores for minimum 6 to 8 hours and reduces opioid requirement in postoperative 24 hours.<sup>11</sup> Efficacy of ISB in shoulder surgery has been well studied by Dhir et al,<sup>12</sup> Pitombo et al,<sup>13</sup> and Neuts et al.<sup>14</sup> But there were concerns regarding phrenic nerve paralysis and UDP in ISB which can produce transient and long-term respiratory complications.<sup>5</sup> Many different nerve blocks for shoulder analgesia which spare the phrenic nerve have been studied by Tran et al.<sup>15</sup> They opined that, no block provided surgical anesthesia without phrenic nerve paralysis. Few cadaveric and therapeutic trials have opined that, CCB may be utilized as a substitute to ISB for postoperative pain relief after shoulder surgery. Mistry et al<sup>16</sup> has given15 mL of local anesthetics in bilateral CCB and found good analgesia without phrenic nerve paralysis. Also, Koyyalamudi et al<sup>17</sup>in a human cadaveric trial approved this. In his study, 20 mL of 0.1% methylene blue was injected to CCS and the dye was found spreading toward craniad part of brachial plexus. Whole of the trunks and cords of the brachial plexus was stained, but phrenic nerve was not stained. So, they opined that, local anesthetics injected to CCS may spare phrenic nerve. But incidence of phrenic nerve paralysis in CCB in different studies varied as different volume of drug was used in those studies. Sivashanmugam et al<sup>18</sup> in their study concluded that, the incidence of unilateral phrenic nerve paralysis was nil with 20 mL of drug and was maximum 8.9% when 35 mL of drug used in CCB in different studies. In our study, we found that NRS scores were comparable in both ISB and CCB groups at 0, 0.5, 1, 2, 3, 6, 12, and 24 hours postoperatively. Mean onset time of block was earlier and patient well-being was better in CCB group contrary to ISB group. Incidence of UDP, 30 minutes after the block and

in the PACU was greater in ISB group contrary to CCB group. (p < 0.05). This was in agreement with a study by Aliste et al.<sup>10</sup> They have compared the efficacy of CCB with ISB for pain relief and any complications after arthroscopic shoulder surgery. They concluded that, both ISB and CCB produced equivalent analgesia without any occurrence of phrenic nerve paralysis. They hypothesized that, from CCS local anesthetics spread cephalad, toward the brachial plexus roots while blocking the trunks and cords, thereby block the shoulder innervations sparing phrenic nerve. They have advocated for larger trial taking more number of patients to validate their findings. Spread of local anesthetics in interscalene groove in the direction of phrenic nerve or toward C3-C5 nerve roots is a possible mechanism of UDP after ISB. Leurcharusmee et al<sup>19</sup>in their study opined that, on moving from ISB toward supraclavicular brachial plexus blocks, occurrence of UDP gradually decreases from 100% to as low as 67%. On moving further below toward the CCS in the infraclavicular region, incidence of UDP further decreased. Aliste et al<sup>10</sup>hypothesized that the CCB reliably anesthetize the lateral cord, posterior cord, supraclavicular brachial plexus, and the suprascapular nerve and termed it as a sweet spot. One of the dilemmas in CCB was that the ideal volume of local anesthetic was still not known. Sotthisopha et al<sup>20</sup> in his study of CCB concluded that in 90% of CCB, the minimum required volume of local anesthetic (1.5% lidocaine) is 34 mL for effective block. But in our study, we have used low volume (20 mL) of local anesthetic as suggested by Karmakar et al.<sup>5</sup> With our aim to homogenize the local anesthesia volume in the two groups and to reduce incidence of UDP, we have used 20 mL of local anesthesia in our study. We have added dexamethasone (4 mg) as adjuvant to levobupivacaine to prolong the duration of action. Lastly CCB may be used an alternative to ISB as it was equally potent and spare the phrenic nerve but one of the limitations of our study was that the number of participants was low. So large scale studies are required to authenticate our findings.

### Conclusion

Our study concludes that newer regional block like CCB prolongs the duration of analgesia and produces identical postoperative pain relief like ISB but unlike interscalene block it does not produce UDP. So CCB can be used as a substitute for interscalene block for effective postoperative pain relief after arthroscopic shoulder surgery specifically in patients having respiratory diseases.

#### **Conflict of Interest**

None declared.

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